



775  
PATENT  
Atty. Docket No. 2750-1309P  
Client Docket No. 80152.003  
Application No. 09/696,751

# 6  
**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Sequence-Determined DNA Fragments and Corresponding Polypeptides Encoded Thereby

the specification of which (check one) ☐ is attached hereto ☒ was filed on 10/25/00 as Application Serial No. 09/696,751, and was amended on      (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed
Number	Country	Day/Month/Year Filed	Yes No

I hereby claim the benefit under Title 35, United States Code, §120 and/or §119(e) of any United States application(s) and/or provisional applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application		Status
Serial No.	Filing Date	Patented, Pending, Abandoned
60/161,406	10/25/99	Pending

I hereby declare that all statements herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Nickolai ALEXANDROV

Inventor's signature N. Alexandrov Date 12/19/00

Residence Thousand Oaks, CA USA

Citizenship Russia

Post Office Address 1404 Oak Trail Street, Thousand Oaks, CA 91320 USA

Full name of second inventor Vyacheslav BROVER

Inventor's signature [Signature] Date 12/13/2000

Residence Calabasas, CA USA

Citizenship Russia

Post Office Address 5916 N. Las Virgenes Road #590, Calabasas, CA 91302 USA

Full name of third inventor Maxim TROUKHAN

Inventor's signature [Signature] Date 12/13/00

Residence Agoura Hills, CA USA

Citizenship Russia

Post Office Address 29425 Hillrise Drive, Agoura Hills, CA 91301 USA



PATENT  
Attorney Docket No. 2750-1309P  
Client Docket No. 80152.003  
Application No. 09/696,751

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Nickolai ALEXANDROV et al.

Serial No.: 09/696,751

Group Art: Unassigned

Filed: 10/25/00

Examiner: Unassigned

For: SEQUENCE-DETERMINED DNA FRAGMENTS AND CORRESPONDING  
POLYPEPTIDES ENCODED THEREBY

**POWER OF ATTORNEY**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.36, Ceres, Incorporated, the Assignee of the above-identified patent application hereby appoints the following attorneys and agents to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

Ling-Fong Chung – Reg. No. 36,482  
Raymond C. Stewart – Reg. No. 21,066  
Joseph A. Kolasch – Reg. No. 22,463  
Bernard L. Sweeney – Reg. No. 24,448  
Charles Gorenstein – Reg. No. 29,271  
Leonard R. Svensson – Reg. No. 30,330  
Andrew D. Meikle – Reg. No. 32,868  
Joe McKinney Muncy – Reg. No. 32,334  
John W. Bailey – Reg. No. 32881

Terrell C. Birch – Reg. No. 19,382  
James M. Slattery – Reg. No. 28,380  
Michael K. Mutter – Reg. No. 29,680  
Gerald M. Murphy, Jr. – Reg. No. 28,977  
Terry L. Clark – Reg. No. 32,644  
Marc S. Weiner – Reg. No. 32,181  
Donald J. Daley – Reg. No. 34,313  
John A. Castellano – Reg. No. 35,094  
Mark Nuell – Reg. No. 36,623

Please direct all correspondence and telephone calls regarding this application to the following:

Birch, Stewart, Kolasch & Birch, LLP or Customer No. 2292  
P.O. Box 747, Falls Church, Virginia 22040-0747  
Telephone: (703) 205-8000 · Fax (703) 205-8050

CERES, INCORPORATED

Dated: December 15, 2000

By:

Mark A. Vaack  
Chief Operating Officer



**BOX SEQUENCE**  
**PATENT**  
2750-1309P

**IN THE U.S. PATENT AND TRADEMARK OFFICE**

Applicant: N. ALEXANDROV et al. Conf: 3026  
Appl. No.: 09/696,751 Group: UNASSIGNED  
Filed: October 25, 2000 Examiner: UNASSIGNED  
For: SEQUENCE-DETERMINED DNA FRAGMENTS AND  
CORRESPONDING POLYPEPTIDES ENCODED  
THEREBY

**SMALL ENTITY TRANSMITTAL FORM**

Assistant Commissioner for Patents  
Washington, DC 20231

April 24, 2002

Sir:

Transmitted herewith is an amendment in the above-identified application.

A Verified Statement Claiming Small Entity Status

☒ was previously submitted on October 25, 2000.

The following items are additionally transmitted herewith along with the amendment:

- ☒ Two (2) identical CD-Rs, as required by §1.52(e)(4), created using IBM-PC, MS-Windows operating system. The files contained on the CD-R are as follows:

File Name	Date of Creation	File Size
Amended Protein Domain Table	April 24, 2002	2.10 MB
2750-1309P.ST25	April 24, 2002	156 KB

- ☒ One (1) CD-R, as required by §1.821(e), containing the Computer Readable Form (CRF) of the Sequence Listing created using IBM-PC, MS-Windows operating system. The files contained on the CD-R are as follows:

File Name	Date of Creation	File Size
2750-1309P.ST25	April 24, 2002	156 KB

- ☒ One (1) CD-R, as required under 37 C.F.R. §1.121, containing a marked-up copy of the amended file. The files contained on the CD-R are as follows:

File Name	Date of Creation	File Size
Marked Protein Domain Table	April 24, 2002	2.12 MB

☐ Copy of Notice to Comply

The fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL	50	-	50	=	0	\$ 9	\$0.00
INDEPENDENT	5	-	5	=	0	\$ 40	\$0.00
___ FIRST PRESENTATION OF A MULTIPLE CLAIM						\$135	\$0.00
						TOTAL	\$0.00

☒ A five (5) month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. §1.136 and 37 C.F.R. §1.17 has been petitioned for and the applicable fees have been paid in the accompanying response to the Notice to File Missing Parts filed on April 24, 2002 for the present application number.

☒ No additional fee is required.

☐ Please charge Deposit Account No. 50-1055 in the amount of \_\_\_\_\_. This form is submitted in triplicate.

If the Primary Deposit Account No. 50-1055 is deficient and non-payment will result in a loss of rights, the Commissioner is hereby authorized in this, concurrent and future replies, to charge payment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Raymond C. Stewart #36,623  
 Raymond C. Stewart, #21,066  
 P.O. Box 747  
 Falls Church, VA 22040-0747  
 (703) 205-8000

RCS/DRN/BCF  
 2750-1309P  
 Attachments